# COMPARISON OF METHODS FOR TRAJECTORY TRACKING FOR REDUNDANT ROBOT MANIPULATORS UNDER HOLONOMIC SCLERONOMIC CONSTRAINTS

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**Abstract**— This paper presents a comparison of two methods used for trajectory tracking: kinematic control and optimization problem via sequential quadratic programming. The end-effector of a redundant serial robot manipulator has to track a desired trajectory while a point of the kinematic chain is subject to a holonomic scleronomic constraint. In addition two manipulability indices are take into account, the first refers to the Jacobian before the constraint and the second refers to the constrained Jacobian. Experiments are performed in a Baxter® robot.

Keywords— manipulability, trajectory tracking, holonomic constraint.

**Resumo**— Este artigo apresenta uma comparação entre dois métodos usados para rastreamento de trajetória: controle cinemático e problema de otimização via programação quadrática sequencial. O efetuador de um manipulador robótico serial redundante deve seguir a trajetória desejada enquanto um ponto em sua cadeia está sujeito a uma restrição escleronômica holonômica. Adicionalmente dois índices de manupulabilidade são levados em conta, o primeiro se refere ao Jacobiano antes da restrição e o segundo se refere ao Jacobiano restrito. Experimentos são realizados em um robo Baxter®.

Palavras-chave manipulabilidade, rastreamento de trajetória, restrição holonômica.

#### 1 Introduction

An open chain robot is kinematically redundant if the number of joints is larger than the dimension of the task space (Murray et al., 1994). This provides the robot with an increased level of dexterity that may be used to avoid singularities, joint limits, and workspace obstacles, but also to minimize joint torque, energy or, in general, to optimize suitable performance indices.

In many situations the environment adds constraints that can be overcomed by redundant robots, in these situations robots need to satisfy these constraints while performing tasks. Examples can be found in:

- Minimally invasive surgery (From, 2013; Coutinho, 2015): At the insertion point in the body's patient during a minimally invasive surgery the surgery instrument should not move transversely in order to not cause serious lesions in the epithelium.
- Extreme environment (Hosford, 2016): The robot performs the main task in a poorly mapped environment.
- Mapping (Everist and Shen, 2009): Mapping opaque single path tubes through insertion.
- Manipulation of Valves (Faria et al., 2015): Optimal control to avoid joint limits closing valves.

In robotics manipulation a singularity is a configuration where the behavior of a manipulator cannot be predicted so the physical measures quantities (as example the forces) become infinite or non deterministic. The manipulability measure is a index given by the product of the singular values of the Jacobian matrix.

It can be noted that during singular configurations the determinant of the matrix Jacobian is null. This means null singular values and also a null manipulability. So a manipulability analysis could help to improve a control strategy when redundant robots are subject to constraints because it is an indication of how close the manipulator is from a singular configuration.

A general discussion about manipulability for robot manipulators can be found in (Siciliano et al., 2009). Manipulability of constrained systems is discussed in (Wen and Wilfinger, 1999) and for constrained serial manipulators in (From et al., 2014). A geometrical approach can be found in (Park and Kim, 1998; Wen and O'Brien, 2003). A control scheme based on the constrained Jacobian in task space for constrained manipulators is discussed in (Pham et al., 2014; Coutinho, 2015). In industrial applications a confined environment can be seen as a kinematic constraint in the manipulator chain (Simas et al., 2013; Everist and Shen, 2009). In (Yoshikawa, 1985) is presented a method for maximize the manipulability for a non-constrained redundant manipulator using the null space of the geometric Jacobian. In (Zhang et al., 2012) an optimization problem is proposed in order to maximize the manipulability of selfmotion planning in a redundant manipulator. In (Dufour and Suleiman, 2017) the inverse kinematics problem is solved as a optimization problem maximizing the manipulability index.

This work presents a general formulation to determine the Jacobian of a serial redundant manipulator with constraints in a point of this kinematic chain, the called constrained Jacobian. As stated by (From et al., 2014) the analysis of manipulability of a serial redundant constrained manipulator must take into account not only the constrained Jacobian, but also the manipulator Jacobian until the joint before the constraint. So a multi-objective problem is presented in order to maximize two manipulability indices (corresponding to constrained Jacobian and the Jacobian until the joint before the constraint) while the endeffector follows a trajectory and the imposed constraints are satisfied. The analysis is addressed to an arm of the Baxter® robot with seven revolute joints and a plane constraint. Experiments results are presented and compared between two methods, a analytic approach for kinematic control in Cartesian space and multi-objective optimization problem via sequential quadratic programming.

The following notation and definitions are used throughout the paper.  $\mathbb{R} := (-\infty, \infty)$  and  $\mathbb{R}^+ := [0, \infty)$ . A frame is represented by F,  $F_i$ is the *i*-th frame. The joint angle vector is represented by  $\theta$ , the *i*-th joint angle is  $\theta_i$ , a joint angle vector  $\theta_{i,j} = [\theta_i \ \theta_{i+1} \ \cdots \ \theta_{j-1} \ \theta_j]$ . Given  $x \in \mathbb{R}^3$ , [x] is the skew symmetric matrix. The linear and angular velocities are denoted by  $v \in \mathbb{R}^3$ and  $\omega \in \mathbb{R}^3$ , respectively. The velocity at a frame *i* is defined by:

$$V_i = \left[ \begin{array}{c} v_i \\ \omega_i \end{array} \right].$$

The adjoint matrix  $\Phi_{i,j}$  (Siciliano et al., 2009) maps velocities between  $F_i$  and  $F_j$ :

$$V_{i+1} = \Phi_{i+1,i}V_i,$$

$$\Phi_{i+1,i} = \begin{bmatrix} R_{i,i+1}^T & -R_{i,i+1}^T[(r_{i,i+1})_i] \\ 0 & R_{i,i+1}^T \end{bmatrix},$$

where  $R_{i,i+1} \in SO(3)$  is the orientation of  $F_{i+1}$ with respect to  $F_i$  and  $(r_{i,i+1})_i$  is the vector between frames  $F_i$  and  $F_{i+1}$  represented in  $F_i$ . The superscripts B and G mean that a variable is defined in the body frame and inertial frame, respectively.

The geometric Jacobian in body coordinates is defined by (Siciliano et al., 2009):

$$V_i^B = J_i^B(\theta_{1,i})\theta_{1,i},$$

$$J_i^B(\theta_{1,i}) = \begin{bmatrix} (h_1)_i \times (r_{1,i})_i & \cdots & \\ (h_1)_i & \cdots & \\ (h_{i-1})_i \times (r_{i-1,i})_i & 0 \\ (h_{i-1})_i & (h_i)_i \end{bmatrix}$$

where  $(h_j)_i$  is the axis of rotation of the joint j in  $F_i$  (without loss of generality, here, only revolute joints are considered). For a Jacobian matrix J,  $J^T (JJ^T)^{-1}$  is the pseudo-inverse denoted by  $J^{\dagger}$ .

The pose of the manipulator is represented by  $p(\theta) \in \mathbb{R}^b$  while the desired pose is  $p_d \in \mathbb{R}^b$ , b is the task space dimension.

## 2 Constraints in Applied Mechanics

A constraint is defined as the mathematical expression of restriction in motion of particles or rigid bodies. In a system with n particles  $P_i(i = 1, 2, ..., n)$  in the space  $\mathbb{S}$ , the position of this particles is defined by  $r_i^G = \begin{bmatrix} x_i & y_i & z_i \end{bmatrix}^T$ .

ticles is defined by  $r_i^G = \begin{bmatrix} x_i & y_i & z_i \end{bmatrix}^T$ . Considering two points  $P_1(x_1, y_1, z_1) \in \mathbb{R}^3$ and  $P_2(x_2, y_2, z_2) \in \mathbb{R}^3$ , the distance between  $P_1$ and  $P_2$  is:

$$r_{1,2}^G = |r_1^G - r_2^G|.$$
(1)

If (1) is invariant to a translation then S is homogeneous. If (1) is invariant to a rotation then S is isotropic (Jazar, 2011).

The motion of the system in time t is a trajectory of configuration points  $(S_C point)$  in a space defined as the configuration space,  $S_C space$ :  $X_C = \{x_i, y_i, z_i : i = 1, 2, ..., a\}$  (*a* is the number of particles of the system). The  $S_C space$  is homogeneous and isotropic.

#### 2.1 Holonomic Constraint

A holonomic constraint is a equation in function of configuration displacement and/or time. A scleronomic holonomic constraint is defined in configuration space:

$$f(\theta_1, \theta_2, \theta_3, ..., \theta_N) = f(\theta) = 0.$$
(2)

In (2)  $\theta_i$  is a system variable and  $\theta$  is the vector of system variables, considering a manipulator with only revolute joints  $\theta$  is the joint angle vector.

When a scleronomic holonomic constraint is imposed in a finite displacement, there is too a constraint in a infinitesimal displacements  $d\theta_i$ :

$$df(\theta, t) = f_1(d\theta, dt) = 0.$$
(3)

A scleronomic constraint for infinitesimal displacements is defined by:

$$\sum_{i=1}^{N} \frac{\partial f}{\partial \theta_i} d\theta_i = 0, \qquad (4)$$

The differential constraints in (4) is total differential and can be integrated in (2), so it is a scleronomic holonomic constraint.

#### 2.2 Generalized Coordinates

The configuration degrees of freedom  $f_C$  is defined by:

$$f_C = N - L, \tag{5}$$

where N is the system degrees of freedom and L the number of independent holonomic constraints.



Figure 1: Serial manipulator with revolute joints.

Each holonomic constraint defines a subspace in  $X_C$  that a  $S_C point$  can move. Thus  $d\theta$  has  $f_C$ degrees of freedom and a new set of variables of size  $f_C$  can be defined to determine the N components of  $d\theta$ . These variables are the generalized coordinates:

$$g = g_i(\theta_1, \theta_2, ..., \theta_N) \quad i = 1, 2, ..., fc.$$
 (6)

#### 3 Constrained Jacobian

The constrained Jacobian is a matrix that maps velocities of joint space in task space that also take into account the holonomic constraints in the manipulator chain. A general formulation to obtain the constrained Jacobian of a constrained serial manipulator with one or more holonomic constraints located in only one point in this chain is presented below.

In Figure 1 a system with n joints (only revolute joints are considered) is presented.  $F_0$  is inertial frame, the frame  $F_i$  (i = 1, ..., n) is tied to the *i*-th joint,  $F_e$  is the end-effector frame,  $F_k$  is the frame in the joint before the holonomic constraints and  $F_c$  the frame at the holonomic constraints.

The velocity at  $F_k$  in the body frame and the joint velocity are related by:

$$V_k^B = J_k^B(\theta_{1,k})\dot{\theta}_{1,k}.$$
 (7)

The velocity at  $F_c$  and  $F_k$  are related by:

$$V_c^B = \Phi_{c,k} V_k^B. \tag{8}$$

Suppose that a point  $\in \mathbb{R}^{b}$  in the kinematic chain of the manipulator is subject to a holonomic constraint where the point belongs to a surface S. So a scleronomic holonomic constraint in  $S_{C}$  space (recalling that the system variables vector is the joint angle vector) at  $F_{c}$  can be defined using a matrix  $H \in \mathbb{R}^{m \times 6}$  where m is the dimension of the constraint, i.e.,

$$HV_c^B = 0. (9)$$

Substituting (7) and (8) in (9), one has

$$H\Phi_{c,k}J_k^B(\theta_{1,k})\theta_{1,k} = 0.$$
 (10)

.

Defining

$$\wedge = H\Phi_{c,k},\tag{11}$$

the joint velocity vector satisfying (10) is given by:

$$\dot{\theta}_{1,k} = J_k^{B^{\dagger}}(\theta_{1,k}) \wedge^{\#} u_f, \qquad (12)$$

where  $\wedge^{\#}$  spans the null space of  $\wedge$  and  $u_f$  is a control degree of freedom. Using (5) the dimension of  $u_f$   $(b_{u_f})$  is defined for N = k (manipulator degrees of freedom until the holonomic constraints) and L = m (number of independent holonomic constraints considering that all lines of H are linearly independent):

$$b_{u_f} = k - m. \tag{13}$$

The end-effector velocity is given by:

$$V_e^B = J_e^B(\theta)\dot{\theta}.$$
 (14)

Separating  $J_e^B(\theta)$  into two parts, the endeffector velocity can be written as:

$$V_e^B = \begin{bmatrix} J_{e1}^B(\theta) & J_{e2}^B(\theta_{k+1,n}) \end{bmatrix} \begin{bmatrix} \dot{\theta}_{1,k} \\ \dot{\theta}_{k+1,n} \end{bmatrix}.$$
(15)

Replacing (12) in (15) creates:

$$V_e^B = \begin{bmatrix} J_{e1}^B(\theta) J_k^{B\dagger}(\theta_{1,k}) \wedge^{\#} & J_{e2}^B(\theta_{k+1,n}) \end{bmatrix} \begin{bmatrix} u_f \\ \dot{\theta}_{k+1,n} \end{bmatrix}.$$
(16)

In (16) the product of matrix multiplication  $J_{e1}^B(\theta) J_k^{B^{\dagger}}(\theta_{1,k})$  only depends of  $\theta_{k+1,n}$  in the condition that  $J_k^B(\theta_{1,k})$  is not singular (see (Coutinho, 2015) for proof). Thus  $J_r^B(\theta_{k+1,n})$ , called constrained Jacobian matrix, is defined:

$$J_{r}^{B}(\theta_{k+1,n}) = \begin{bmatrix} J_{e1}^{B}(\theta) J_{k}^{B^{\dagger}}(\theta_{1,k}) \wedge^{\#} & J_{e2}^{B}(\theta_{k+1,n}) \end{bmatrix}.$$
(17)

Then rewriting (16) with (17):

$$V_e^B = J_r^B(\theta_{k+1,n}) \begin{bmatrix} u_f \\ \dot{\theta}_{k+1,n} \end{bmatrix}.$$
 (18)

### 4 Manipulability Indices

The manipulability is an index that represents the manipulator distance to singular configurations. For a given Jacobian matrix  $J(\theta)$  the manipulability measure is:

$$w = \sqrt{\det(J(\theta)J^T(\theta))}.$$
 (19)

In order to analyze the manipulability of a constrained serial manipulator two Jacobian matrices have to be taken into account, the geometric Jacobian until the joint before the constraint  $J_k^B(\theta_{1,k})$  and the constrained Jacobian  $J_r^B(\theta_{k+1,n})$ .

The manipulability of  $J_k^B(\theta_{1,k})$  is a measure of how efficiently the constrained manipulator can generate motions in  $F_k$  in order to track the desired trajectory of the end-effector:

$$w_k = \sqrt{\det(J_k^B(\theta_{1,k})J_k^{B^T}(\theta_{1,k}))}.$$
 (20)

For the constrained Jacobian matrix  $J_r^B(\theta_{k+1,n})$ , which can only depends on the constraint type and kinematics of the joints after the constraint, the manipulability indicates the possibility of generating the desired trajectory in the end-effector associated with the use of  $u_f$  and  $\dot{\theta}_{k+1,n}$ :

$$w_r = \sqrt{\det(J_r^B(\theta_{k+1,n})J_r^{B^T}(\theta_{k+1,n})))}.$$
 (21)

### 5 Kinematic Control in Cartesian Space

In this section a approach for kinematic control in Cartesian Space is presented, this scheme have been used in (Pham et al., 2014; Coutinho et al., 2014; Coutinho, 2015). Considering only the position in Cartesian space the end-effector position  $p_e$  must track a desired time-varying trajectory  $p_d(t)$ , so in the ideal case  $p_e \rightarrow p_d(t)$ , while a point in the manipulator chain is subject to one or more holonomic constraints.

The Figure 2 shows a block diagram for a kinematic position control loop in Cartesian space. The block Internal Control Loop is a robot internal controller that makes  $\dot{\theta} = \dot{\theta}_d$ . Utilizing the constrained position Jacobian  $J_{rp}(\theta_{k+1,n}) \in \mathbb{R}^{3 \times n-m}$  that is equal to the first three lines of  $J_r(\theta_{k+1,n})$ , is possible to obtain the linear velocity of the end-effector  $v_e \in \mathbb{R}^3$  from (18):

$$v_e = J_{rp}^B(\theta)\dot{\theta} = J_{rp}^B(\theta_{k+1,n}) \left[ \begin{array}{c} u_f \\ \dot{\theta}_{n+1,k} \end{array} \right].$$
(22)

Still in Figure 2 integrating  $\dot{\theta}$  over time and applying the forward kinematics results in  $p_e$ . The position error  $e_p$  is:

$$e_p = p_d - p_e. (23)$$

For a Cartesian control signal  $u_p = K_p e_p + \dot{p}_d(t)$ , where  $K_p \in \mathbb{R}^{3 \times 3}$  and  $u_p$  is a proportional plus feed forward controller, using (22) the constrained velocity vector  $\begin{bmatrix} u_f & \dot{\theta}_{k+1,n} \end{bmatrix}^T \in \mathbb{R}^{n-m}$  is given by:

$$\begin{bmatrix} u_f \\ \dot{\theta}_{k+1,n} \end{bmatrix} = J_{rp}^{B^{\dagger}}(\theta_{k+1,n})u_p.$$
(24)

Now  $\dot{\theta}_{1,k}$  is obtained using  $u_f \in \mathbb{R}^{k-m}$  from (24),  $J_{kp}(\theta_{1,k}) \in \mathbb{R}^{3 \times k}$  is equal to the first three lines of  $J_k(\theta_{1,k})$ :

$$\dot{\theta}_{1,k} = J_{kp}^{B^{\dagger}}(\theta_{1,k}) \wedge^{\#} u_f.$$
(25)

 $\dot{\theta}_d$  is the vertical concatenation of  $\dot{\theta}_{1,k}$ from (24) and  $\dot{\theta}_{k+1,n}$  from (25),  $\dot{\theta}_d$  =  $\begin{bmatrix} \dot{\theta}_{1,k} & \dot{\theta}_{k+1,n} \end{bmatrix}^T$ , so it can be rewritten in a matrix multiplication form using the terms of right side of equalities (24) and (25):

$$\dot{\theta}_{d} = \begin{bmatrix} J_{kp}^{B^{\dagger}}(\theta_{1,k}) \wedge \# & 0_{k,n-k} \\ 0_{n-k,k-m} & I_{n-k} \end{bmatrix} J_{rp}^{B^{\dagger}}(\theta_{k+1,n}) u_{p}.$$
(26)

In (22)  $v_e$  also can be rewritten in a matrix multiplication form because from (25)  $u_f = (J_{kp}^{B^{\dagger}}(\theta_{1,k}) \wedge^{\#})^{\dagger} \dot{\theta}_{1,k}$ , so:

$$v_{e} = J_{rp}^{B}(\theta_{k+1,n}) \begin{bmatrix} J_{kp}^{B^{\dagger}}(\theta_{1,k}) \wedge^{\#} & 0_{k,n-k} \\ 0_{n-k,k-m} & I_{n-k} \end{bmatrix}^{\dagger} \dot{\theta}.$$
(27)

Now in order to obtain the position error dynamics, derives (23), substitutes  $\dot{p}_d(t)$  with  $u_p - K_p e_p$  and considering that  $\dot{\theta} = \dot{\theta}_d$  substituting (26) in (27) implies  $v_e = u_p$ :

$$\dot{e}_p = \dot{p}_d - v_e = u_p - K_p e_p - v_e = -K_p e_p$$
 (28)

where with a positive definite matrix  $K_p$  implies that  $\lim_{t\to\infty} e_p(t) = 0$ .

There are two manipulability indices,  $w_k$  and  $w_r$ , the control strategy in this Section, that is applied in (Pham et al., 2014; Coutinho et al., 2014), does not address specifically those two indices (or any other that can be defined), it only strives to follow a trajectory with the holonomic constraints satisfied. So a modification of (24) and (25) is proposed, it consists in expand the null space of  $J^B_{rp}(\theta_{k+1,n})$  and  $J^B_{kp}(\theta_{1,k})$  rewriting (24) and (25) respectively as:

$$\begin{bmatrix} u_f \\ \dot{\theta}_{k+1,n} \end{bmatrix} = J_{rp}^{B^{\dagger}}(\theta_{k+1,n})u_p +$$

$$(I_{n-k} - J_{rp}^{B^{\dagger}}(\theta_{k+1,n})J_{rp}^{B}(\theta_{k+1,n}))\mu_r,$$
(29)

$$\dot{\theta}_{1,k} = J_{kp}^{B^{\dagger}}(\theta_{1,k}) \wedge^{\#} u_f + (I_k - J_{kp}^{B^{\dagger}}(\theta_{1,k}) J_{kp}^{B}(\theta_{1,k})) \mu_k,$$
(30)

where  $\mu_k$  and  $\mu_r$  are additional degrees of freedom that are utilized for maximize a function, in this case the manipulabilities defined in (20) and (21). So:

$$\mu_k = K_k \left( \frac{\partial w_k(\theta_{1,k})}{\partial \theta} \right), \qquad (31)$$

$$\mu_k = K_r \left( \frac{\partial w_r(\theta_{k+1,n})}{\partial \theta} \right), \qquad (32)$$

where  $K_k \in \mathbb{R}^+$  and  $K_r \in \mathbb{R}^+$  define the weight of (31) and (32) respectively.

#### 6 Multi-objective Problem Formulation

In this section a multi-objective problem is proposed, the manipulator must follow the trajectory while maintaining the manipulability indices



Figure 2: Kinematic position control loop.

as high as possible and satisfying the holonomic constraints.

Whenever a manipulator tracks a trajectory the position error in end-effector at an instant t is the difference between the desired position  $p_d(t)$ and the actual position  $p_e(\theta(t))$ :

$$e(t) = p_d(t) - p_e(\theta(t)).$$
(33)

Using a method that finds a solution  $\dot{\theta}^s(t)$ , a joint velocity command for the manipulator at a fixed step time T, that aims to bring the position error in (33) to zero in a step time, the predicted error is:

$$\tilde{e}(t+T) = p_d(t+T) - p_e(\tilde{\theta}(t+T)), \qquad (34)$$

where the predicted joint angle vector in (34) is

$$\tilde{\theta}(t+T) = \theta(t) + \dot{\theta}^s(t)T.$$
(35)

In a optimization problem a function can be maximized searching through the minimization of his negative. Then functions  $f_1$  and  $f_2$  are respectively the negative of  $w_k$  and  $w_r$  evaluated at the predicted joint angle vector in (35):

$$f_{1} = -\sqrt{\det(J_{kp}^{B}(\tilde{\theta}_{1,k}(t+T))J_{kp}^{B^{T}}(\tilde{\theta}_{1,k}(t+T))))},$$
(36)
$$f_{2} = -\sqrt{\det(J_{rp}^{B}(\tilde{\theta}_{k+1,n}(t+T))J_{rp}^{B^{T}}(\tilde{\theta}_{k+1,n}(t+T))))}.$$
(37)

As a multi-objective problem, a linear scalarization is used for functions  $f_1$  and  $f_2$  together with a parameter  $\alpha \in \mathbb{R}^+$  where  $0 \le \alpha \le 1$ . For a serial redundant manipulator with one or more holonomic constraints in a point of this chain and subject to track a trajectory, using (36) and (37) a multi-objective problem (the solution is the joint velocity vector  $\dot{\theta}^s(t)$ ) is defined as:

$$\min \quad \alpha f_1 + (1 - \alpha) f_2, \tag{38}$$

s.t. 
$$-\delta \le \tilde{e}(t+T) \le \delta$$
 (39)

$$H\Phi_{c,k}J^B_{kp}(\tilde{\theta}_{1,k}(t+T))\dot{\theta}^s_{1,k}(t) = 0, \qquad (40)$$

$$\theta^{-} \le \theta(t+T) \le \theta^{+}, \tag{41}$$

$$\dot{\theta}^- \le \dot{\theta}^s(t) \le \dot{\theta}^+,\tag{42}$$

where  $\delta \in \mathbb{R}^+$  is a constant,  $\theta^+$  and  $\theta^-$  denote respectively the upper and lower joint angle limits while  $\dot{\theta}^+$  and  $\dot{\theta}^-$  denote respectively the upper and lower joint velocity limits.

The decision variables of the multi-objective problem in (38) to (42) are the joint velocities  $\dot{\theta}_i$ . Although the decision variables are not explicit in (38), (39) and (41) the relations are defined in (34) to (37). The search method for multiobjective problem in (38) to (42) could be any algorithm that solves nonlinear convex optimization problems. In this work a sequential quadratic programming (sqp) algorithm is used.

The objective function in (38) is minimized at each step of the sqp method reflecting in a momentary value for  $w_k$  and  $w_r$ . The inequality in (39) means that the predicted error is between a lower and a upper bound. The constraint (40), same expression of (10) but now evaluated at  $\tilde{\theta}(t+T)$  and  $\dot{\theta}^s(t)$ , is the scleronomic holonomic constraint in the manipulator chain. The inequality constraints (41) and (42) are the manipulator physical constraints in terms of joint angle limits and joint velocity limits respectively.

In order to gather the values of  $w_k$  or  $w_r$  at each step of the sqp method in one index, the integral of the manipulability indices are taken into account:

$$W_k = \int_0^{t_f} w_k dt, \qquad (43)$$

$$W_r = \int_0^{t_f} w_r dt, \qquad (44)$$

where  $t_f$  is the time that the trajectory tracking ends. So one solution  $s^*$  is defined as a pair  $W_k$ and  $W_r$  for a fixed  $\alpha$ .

**Remark 1** The problem (38) to (42) can be treated as a mono-objective but would result in a single solution where the  $\alpha$  parameter would have to be fixed a priori. This unique solution results in manipulability values that might not be good compared to other attainable values. In fact, there may be a range of  $\alpha$  values that make the indices cooperative an another range of  $\alpha$  values the where



Figure 3: Baxter® robot used in experiments.

Table 1: Parameters of Baxter's right arm.

Joint	$\theta_i \ (angle \ limit \ (rad))$	$\theta_i \ (velocity \ limit \ (rad/s))$
1	$\theta_1$ (-1.70 to 1.70)	$\dot{\theta}_1$ (-2.0 to 2.0)
2	$\theta_2$ (-2.14 to 1.04)	$\dot{\theta}_2$ (-2.0 to 2.0)
3	$\theta_3$ (-3.02 to 3.02)	$\dot{\theta}_3$ (-2.0 to 2.0)
4	$\theta_4$ (-0.05 to 2.61)	$\dot{\theta}_4$ (-4.0 to 4.0)
5	$\theta_5$ (-3.05 to 3.05)	$\dot{\theta}_5$ (-4.0 to 4.0 )
6	$\theta_6$ (-1.57 to 2.09)	$\dot{\theta}_6$ (-4.0 to 4.0)
7	$\theta_7$ (-3.05 to 3.05)	$\dot{\theta}_7$ (-4.0 to 4.0)

the indices are in opposition. Then only with the multi-objective problem is possible to verify the correlation between the indices of manipulability, this correlation changes according to the location and type of constraint.

### 7 Experiments

In this section two trajectory tracking experiments are presented using the right arm of the Baxter® robot, Figure 3. The objective is track the desired trajectory (only position of the end-effector is considered) while maintaining the manipulability indices as high as possible using the approach for kinematic control in Cartesian space and the multi-objective formulation problem.

The Table 1 specifies the joint limits (angles and velocities) for the Baxter's right arm. In order to restrict the search space in the sqp method the limits of joint velocities are set for  $-0.5 \ rad/s$  to  $0.5 \ rad/s$  for all  $\dot{\theta}_i$ , this is necessary to avoid that  $\dot{\theta}^s(t)$  go to the lower and upper physical velocity limits in consecutive steps of the sqp method.

A scleronomic holonomic constraint in a point of Baxter kinematic chain between  $F_4$  and  $F_5$  ( $F_i$ is tied to the *i*-th joint) defined by the displacement  $L_c = 50 \ mm$  can be seen in Figure 4. In Figure 4 there is a plane constraint in  $F_c$  meaning there is no movement on one axis, in this particular case the x axis on the frame  $F_c$ . So the dimension of the constraint is the set  $\mathbb{R}$  and the equation of the scleronomic holonomic constraint in (11) has the following vector:

$$H = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 \end{bmatrix}.$$
(45)

The constraint in Figure 4 is after  $F_4$  and before  $F_5$  so k = 4. Figure 5 shows  $w_k$  (considering only the position Jacobian  $J_{4p}^B(\theta_{1,4})$ ) as function of



Figure 4: Kinematic model of Baxter's right arm with plane constraint between  $F_4$  and  $F_5$ .



Figure 5: Manipulability  $w_k$  with k = 4,  $w_k$  is multiplied by  $10^3$ .

 $\theta_2$  and  $\theta_3$ . As  $J_{4p}^B(\theta_{1,4})$  takes into account only position until  $F_4$ ,  $w_k$  does not depend on  $\theta_4$  neither  $\theta_1$  because in the inertial frame the last column of  $J_{4p}(\theta_{1,4})$  is null (no  $\theta_4$ ) while in the body frame the first column is null (no  $\theta_1$ ), the manipulability value is not affected for frame changes. The singular configuration is reached when  $\theta_3 = 0$  as also multiple of  $\theta_3 = \pm \pi/2$ . The variation of  $\theta_2$  does not effect  $w_k$ . High values of  $w_k$  are reached near odd multiples of  $\theta_3 = \pm \pi/4$ .

Figure 6 shows  $w_r$  (considering only the position Jacobian  $J_{rp}^B(\theta_{5,7})$ ) in function of  $\theta_5$  and  $\theta_6$ . As  $\theta_7$  is tied to a revolute joint in x axis it does not change the end-effector position (only orientation) so it does not change the index  $w_r$ . Visualization of angle values for singular configurations would be tricky in a 3D plot so Figure 6 shows  $w_r$  in a 2D plot, a dark blue area means the manipulator is near a singular configuration while a dark red area means the manipulability reached a high value.

The end-effector position is represented by a three element vector in the following order, position in axes x, y and z ( $p_x(\theta(t))$ ,  $p_y(\theta(t))$  and  $p_z(\theta(t))$  respectively) that can be found by the



Figure 6: Manipulability  $w_r$  in a  $\theta_5 - \theta_6$  space with plane constraint between frames  $F_4$  and  $F_5$ .

Table 2: Gains.			
Experiment	$K_k$	$K_r$	
1	0	0	
2	1	0	
3	0	0.3	
4	5	0.5	

forward kinematics:

$$p_e(\theta) = \begin{bmatrix} p_x(\theta) \\ p_y(\theta) \\ p_z(\theta) \end{bmatrix}.$$
 (46)

The first wave of experiments consists in applying the kinematic control, scheme of Figure 2, with the following matrix  $K_p = diag(2.5, 3, 3.75)$  where diag is the diagonal matrix.

The gains  $K_k$  an  $K_r$  are defined in Table 2. Increasing  $K_r$  beyond 0.5 leads the system to instability and increasing  $K_k$  does not change significantly the manipulability indices. One solution is a pair  $W_k$  and  $W_r$  for a line in Table 2.

In the second wave of experiments the multiobjective problem formulation from Section 6 is used. Using the sqp method a set of solutions  $s^*$ is generated for  $\alpha = \begin{bmatrix} 0 & 0.01 & \cdots & 0.99 & 1 \end{bmatrix}$ . In this case one solution is a pair  $W_k$  and  $W_r$  for a fixed  $\alpha$ , that way this set has 101 solution classified in dominated and non dominated (a solution is non dominated if does not exist another solution equal or better in all objectives at same time).

In the first trajectory the end-effector of the constrained manipulator must track the following desired trajectory:

$$p_d(t) = \begin{bmatrix} p_x(0) + 15\sin(\omega t) \\ p_y(0) + 66\cos(2\omega t) - 66 \\ p_z(0) + 30\sin(2\omega t) \end{bmatrix} mm, (47)$$

where  $p_x(0)$ ,  $p_y(0)$  and  $p_z(0)$  are respectively the initial positions in axes x, y and z and  $\omega = 2\pi/40 \ rad/s$  is the frequency. A



Figure 7: Desired trajectory for case I.



Figure 8:  $W_k$  and  $W_r$  for case I.

sketch of the desired smooth trajectory is in Figure 7 considering the origin as initial point. The joint angle initial state is  $\theta(0) = \begin{bmatrix} 0 & -\pi/6 & \pi/2 & \pi/4 & -\pi/3 & \pi/4 & 0 \end{bmatrix}^T rad$ , the initial position is  $p_x(0) = 960 \ mm$ ,  $p_y(0) = -426 \ mm$  and  $p_z(0) = 595 \ mm$ , the task duration is  $40 \ s$ .

The solution plot is in Figure 8, for the 101 multi-objective problem solutions 22 are non dominated (color red) and 79 are dominated (color blue). The Figure 8 still shows the indices  $W_k$  and  $W_r$  for 4 experiments of kinematic control, these 4 solutions (color green) are clearly dominated. Some values of  $\alpha$  that form the global Pareto-optimal set are explicit in Figure 8, so it can be noted that the Pareto set is made by solutions with a high value of  $\alpha$ .



Figure 9: Desired trajectory for case II.



Figure 10:  $W_k$  and  $W_r$  for case II.

## 7.1 Case II

In the second trajectory the desired trajectory is:

$$p_d(t) = \begin{bmatrix} p_x(0) + 15\sin(\omega t) \\ p_y(0) + 66\cos(2\omega t) - 66 \\ p_z(0) + 30\sin(2\omega t) \end{bmatrix} mm, (48)$$

where  $\omega$ ,  $\theta(0)$  and task duration are the same from the first trajectory. A sketch of the desired trajectory with edges is in Figure 9.

The solution plot is in Figure 10, for the 101 multi-objective problem solutions again 22 are non dominated (color red), 79 are dominated (color blue) and the solutions of kinematic control (color green) are clearly dominated. The values of  $\alpha$  that form the global Pareto-optimal, as can be seen in Figure 10, again are high.

#### 8 Conclusions

The multi-objective problem formulation was successful in achieving high levels of manipulability in both trajectories. That is, manipulability reaches the peaks of Figures 5 or 6 according to alpha.

The proposed kinematic control failed in maintain the system stability for a increase of  $K_r$ , so it could not achieve a high level of manipulability in Figures 5 and 6. Further investigation should be made to determine the causes and correct the instability of the system. Other goal is add another method for comparison, the optimization problem via quadratic programming.

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